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1654

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): et al.

Serial No.: 10/086,335

Filed: 3/1/2002

Title: Process for Binding Carotenoids to  
Proteins and the Products Thereof

Group Art Unit:

1646

Examiner:

Attorney Docket No.: 4532670/59100

Commissioner for Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:
  - ☐ Statement under 37 CFR 1.97(e), or
  - ☐ a \$180.00 fee under 37 CFR 1.17(p), or
  - (After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with a:
  - ☐ Statement under 37 CFR 1.97(e), and
  - ☐ a \$180.00 fee set forth in 37 CFR 1.17(p).
  - (Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

X Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

The relevance of the attached references is that this is the closest art of which Applicant is aware.

Applicant submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: 9-22-03

Typed Name: Jeri D. Krutsinger

Signature: Jeri D. Krutsinger



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Date: September 18, 2003

Telephone No.: 515-288-2500



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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	10/086,335	
	Filing Date	Mar 1, 2002	
	First Named Inventor	Newman	
	Art Unit	1646	
	Examiner Name	To be assigned	
Total Number of Pages in This Submission	8	Attorney Docket Number	4532670/59100 (KEM 60)

ENCLOSURES (check all that apply)		
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Remarks		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual	Kent A. Herink
Signature	<i>Kent A. Herink</i>
Date	9/19/03

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I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: 9-22-03			
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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

**Complete if Known**

Application Number	10/086,335
Filing Date	3/1/2002
First Named Inventor	et al.
Art Unit	1646
Examiner Name	
Attorney Docket Number	4532670/59100

Sheet	1	of	
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## U.S. PATENT DOCUMENTS

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**FOREIGN PATENT DOCUMENTS**

[illegible]Examiner  
SignatureDate  
Considered

Examiner Signature	Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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